



United States  
**Office of Government Ethics**  
1201 New York Avenue, NW., Suite 500  
Washington, DC 20005-3917

The Honorable Ander Crenshaw  
Chairman  
Subcommittee on Financial Services  
And General Government  
Committee on Appropriations  
United States House of Representatives  
B-300 Rayburn House Office Building  
Washington, DC 20515

**JAN 23 2014**

Dear Mr. Chairman:

This responds to your May 7, 2013, letter inquiring about the U.S. Office of Government Ethics' (OGE) efforts to implement the Stop Trading on Congressional Knowledge Act of 2012 (STOCK Act) (Pub. L.112-105, as amended), and is OGE's third quarterly spending report on activities related to developing the electronic financial disclosure filing system (e-filing) required by Section 11(b) of the Act.

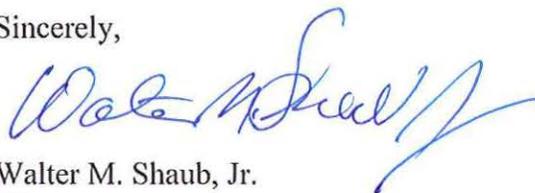
During the period since OGE provided you with its second quarterly report on November 7, 2013, we have continued to work diligently to develop the e-filing application. From October through December 2013, the contractors completed three significant development phases that were focused primarily on filer functionality. We are able to report that since development work began at the end of June 2013, OGE and the contractors have made significant progress, completing the logic for the wizard system and the system framework, and approximately 70% of the filer-wizard functionality. OGE began agency focus group testing of the filer functionality in January 2014.

The table below captures the expenditures associated with indicated activities through the first quarter of fiscal year 2014.

<b>Activity</b>	<b>October (in thousands)</b>	<b>November (in thousands)</b>	<b>December (in thousands)</b>	<b>Total (in thousands)</b>
Architecture	\$80	\$71	\$79	\$230
Engineering	\$179	\$142	\$125	\$446
Project Management	\$23	\$20	\$19	\$62
Requirements Analysis	\$38	\$33	\$32	\$103
Security	\$17	\$23	\$17	\$57
Shared Infrastructure & Services	\$16	\$7	\$6	\$29
User Experience	\$68	\$37	\$16	\$121
Quality Assurance	\$21	\$18	\$17	\$56
<b>Total for Quarter</b>				<b>\$1,104</b>
<b>Cumulative Total</b>				<b>\$3,510</b>

OGE will continue to provide quarterly spending reports on the development and implementation of the e-filing system mandated by the STOCK Act. Please feel free to contact Shelley K. Finlayson, Program Counsel, at (202) 482-9314, if you require additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read "Walter M. Shaub, Jr.", with a stylized flourish at the end.

Walter M. Shaub, Jr.  
Director